

This brochure supplement provides information about Scott G. Weiss that supplements the Weiss Financial Group LLC brochure. You should have received a copy of that brochure. Please contact Scott G. Weiss, Partner if you did not receive Weiss Financial Group LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Scott G. Weiss is also available on the SEC's website at www.adviserinfo.sec.gov.

Weiss Financial Group LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Scott G. Weiss

Personal CRD Number: 5772766

Investment Adviser Representative

Weiss Financial Group LLC
704 Route 6
Mahopac, New York, 10541
(845) 621-5900
sweiss@weiss-financial.com

UPDATED 12/05/2023

Item 2: Educational Background and Business Experience

Name: Scott G. Weiss **Born:** 1973

Education Background and Professional Designations:

Education:

Bachelor of Fine Arts, SUNY New Paltz - 1996
Kaplan, Financial Planning Certificate - 2011

Designations:

CFP® - Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- i. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- ii. Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

RICP® - Retirement Income Certified Professional™

MINIMUM QUALIFICATIONS:

The RICP® designation teaches advisers techniques and best practices used to create sustainable streams of retirement income. The education covers retirement income planning, maximizing Social Security and other income sources, minimizing risks to the plan, and managing portfolios during the asset distribution phase. The designation includes three required, college-level courses that represent a total average study time of more than 150 hours. RICP® designees must meet experience, continuing education and ethics requirements. The credential is awarded by The American College, a non-profit educator with an 85-year heritage and the highest form of academic accreditation.

CMFC® - Chartered Mutual Fund Counselor

- The CMFC designation is a professional designation awarded by the College for Financial Planning to financial services professionals who complete a study program and pass an exam covering mutual fund topics.
- All designees have agreed to adhere to Standards of Professional Conduct and are subject to a disciplinary process.
- Designees renew their designation every two-years by completing 16 hours of continuing education, reaffirming adherence to the Standards of Professional Conduct and complying with self-disclosure requirements.

AAMS - Accredited Asset Management Specialist

MINIMUM QUALIFICATIONS:

- Complete a self study course,
- Pass an examination on asset management topics,
- Agree to a code of ethics, and
- Commit to annual continuing education.

APMA® - Accredited Portfolio Management Advisor

- The Accredited Portfolio Management AdvisorSM or APMA® Program is a professional designation program for financial professionals. Designees educate advisors on the finer points of portfolio creation, augmentation, and maintenance. All designees will be able to analyze investment policy statements, building portfolios, and making asset allocation decisions, to include determining sell, hold, and buy decisions within a client's portfolio.

FSCP® - Financial Services Certified Professional

The FSCP® designation offers product and skills training as the foundation for career-long learning. The content available in this program of study includes: prospecting, business insurance, meeting client needs, exploring personal markets, product training, and more.

EDUCATIONAL/ EXAMINATION REQUIREMENTS:

- To earn the FSCP® designation, candidates must complete 5 electives, Ethics for the Financial Services Professional course, the Certification Course (live webinar), and the designation exam. Designees must also abide by The American College's Code of Ethics.
- Successful completion of an elective course requires meeting requirements in the areas of attendance, final examination, and term grade.

CERTIFICATION COURSE:

- The certification course is an 8-week live webinar. The final Certification Examination is administered at an EOD® testing center. All students eligible to take the final exam will receive an EOD® (Examination on Demand) ticket by email.

CONTINUING EDUCATION:

- 30 hours of continuing education (including 1 ethics) credits every two years.
- Must adhere to the The American College's Code of Ethics, which includes the following professional pledge: "I shall, in light of all conditions surrounding those I serve, which I shall make every conscientious effort to ascertain and understand, render that service which, in the same circumstances, I would apply to myself."

AWMA® - Accredited Wealth Management Advisor SM

MINIMUM QUALIFICATIONS:

- Individuals who hold the AWMA® designation have completed a course of study encompassing wealth strategies, equity-based compensation plans, tax reduction alternatives, and asset protection alternatives;
- Individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations;
- All designees have agreed to adhere to Standards of Professional Conduct and are subject to a disciplinary process; and
- Designees renew their designation every two-years by completing 16 hours of continuing education, reaffirming adherence to the Standards of Professional Conduct and complying with self-disclosure requirements.

CRPC® - Chartered Retirement Planning CounselorSM

- Successfully complete the program;
- Pass the final examination; and
- Comply with the Code of Ethics, which includes agreeing to abide by the Standards of Professional Conduct and Terms and Conditions. Applicants must also disclose of any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct. Conferment of the designation is contingent upon the College for Financial Planning's review of matters either self-disclosed or which are discovered by the College that are required to be disclosed.
- Successful students receive a certificate and are granted the right to use the designation on correspondence and business cards for a two-year period.
- Continued use of the CRPC® designation is subject to ongoing renewal requirements. Every two years individuals must renew their right to continue using the CRPC® designation by:
 - completing 16 hours of continuing education;
 - reaffirming to abide by the Standards of Professional Conduct, Terms and Conditions, and self disclose any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct; and
 - paying a biennial renewal fee

CAS - Certified Annuity Specialist

A Certified Annuity Specialist (CAS) is a certification indicating expertise in fixed-rate and variable annuities. Individuals with the CAS designation offer clients expert advice in regard to investment opportunities in annuities, which provide a stream of income to those who are nearing or in retirement.

- Issued by the Institute of Business & Finance (IBF)
- Must meet one of the following requirements:
 - Bachelor's degree or
 - 2,000 hours of financial services work experience
- Education and exam requirements:
 - Must complete Self Study Program (six modules)
 - Three exams (administered by the National Associate of Securities Dealers (NASD)) and a case study
 - 30 hours every two years

CFS®- Certified Fund Specialist

MINIMUM QUALIFICATIONS

- To earn this designation, candidates must have at least 2,000 hours of work experience in the financial services industry or a bachelor's degree from an accredited college or university. The designation will not be granted until the required minimum number of hours has been fulfilled or a bachelor's degree has been granted.
- Pass three exams; each one covers two of the six modules (students can three month-extension for \$40)
- Complete one open-book study (a one page set of facts based on a hypothetical client)
- Fill out a registration form and complete a student questionnaire, and
- Sign a code of ethics

Business Background:

10/2011 - Present	Partner Weiss Financial Group LLC
01/2010 - Present	Managing Member Weiss Advisory Group
12/2010 - 12/2011	Investment Adviser Representative Asset & Financial Planning, Ltd.
03/2010 - 12/2011	Registered Representative Prime Capital Services, Inc.
11/2002 - 12/2009	Deputy Art Director Money Magazine
01/2000 - 10/2002	Associate Art Director Mutual Funds Magazine

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Scott G. Weiss is the managing member of Weiss Advisory Group.

Scott G. Weiss sits on the Board of Trustees, and he is the Financial Director for the Mahopac Public Library.

Scott G. Weiss is the Treasurer of Putnam County Libraries Association.

Item 5: Additional Compensation

Other than salary, annual bonuses, or regular bonuses, Scott G. Weiss does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Weiss Financial Group LLC.

Item 6: Supervision

As the Chief Compliance Officer of Weiss Financial Group, LLC, Scott G. Weiss supervises all duties and activities of the firm. Mr. Weiss' contact information is on the cover page of this disclosure document. Mr. Weiss adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.